

Towards Jason-3 vaveforms processing:

***Assessment of the Numerical Retracking
performances.***

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Introduction

Numerical Retracking: Originally developed by CNES in the frame of SAR altimeter data processing.

→ a better use of the altimeter PTR **to improve the radar echo modeling.**

For Jason-3:

Even if the Jason-3 altimeter POS3B perfectly **fulfils all its requirements**, the “numerical retracking” has been considered as a **very performing solution** to prevent **any degradations** on altimetry products due to a potential ageing of components.

This presentation aims at :

- Presenting the numerical retracking techniques
- Demonstrating the numerical retracking solution is an interesting alternative to the current MLE4/3 widely used on all missions.
- Validating the numerical retracking on Jason-2 mission

Reminder of the MLE4 Retracking

MLE4 Retracking:

Baseline for all current altimetry missions:

- Based on the use of **Hayne model**
- Most Likelihood Estimator (MLE)
- **E, SWH, Pu and Mispointing** estimations (4 parameters)

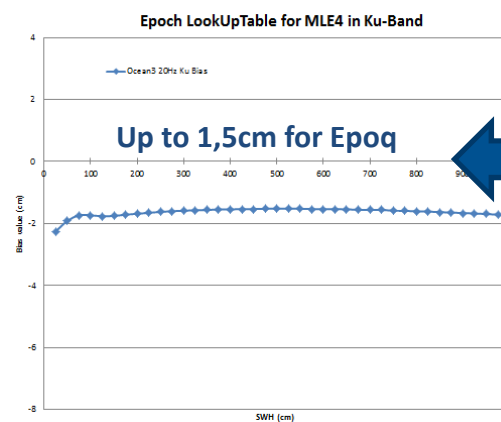
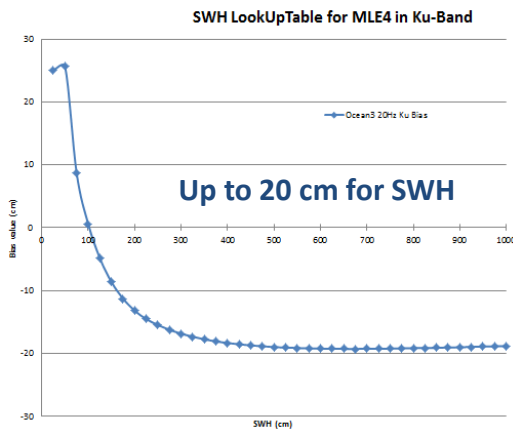
Hayne Model:

Analytical Radar Echo Model and derivatives

- Most commonly used model
- **Instrument PTR (sinc function) is approx. by a gaussian function.**

Look Up Tables:

- To correct for the PTR gaussian approx
- **Offline** computed, using an altimeter simulator taking into account the real instrument PTR
- **Updated** depending on the instrument ageing (side lobes PTR changes)



**20Hz
waveform**

Retracking

**20Hz raw
Epoch
sigmac
Power**

**20Hz to 1Hz
compression**

**1 Hz
Epoch
SWH
Sigma0**

**Delay/Power
Calibration**

+

**1 Hz
Range
SWH
Sigma0**

MLE4: Advantages/Drawbacks

Advantages:

- Continuity between past and current missions ?
- Analytical model → fast computation

Drawbacks:

- PTR approximation
- Use of LUT computed from **one reference PTR**
- **Any deviations from the reference PTR will generate errors**
- LUT approach is efficient only if the PTR side lobes are stable

What if the altimeters would present a stronger ageing or higher characteristics variability?

- The current **MLE4 retracking** would not have the capability to account for instrument **characteristics variations**.
- To prevent this situation, we need a **new retracking solution** accounting for the real instrument PTR

MLE4 Numerical Retracking (MLE4Num)

MLE4 Numerical Retracking:

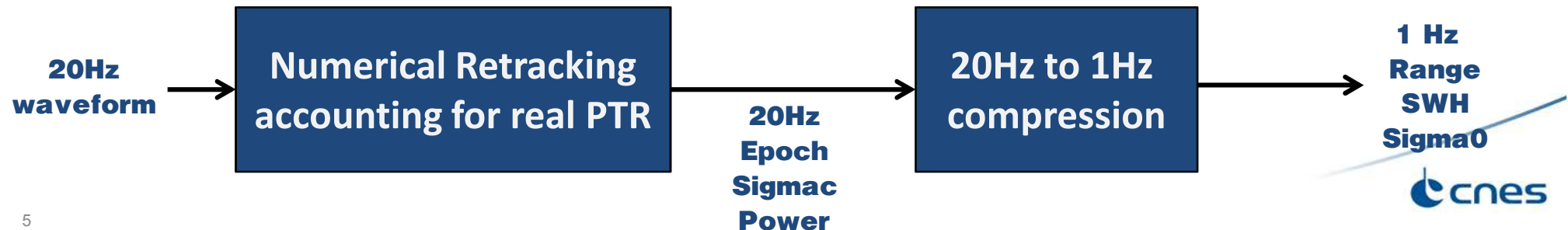
- Based on the use of **a numerical model**
- Most Likelihood Estimator (MLE)
- **E, SWH, Pu and Mispointing** estimations (4 parameters)



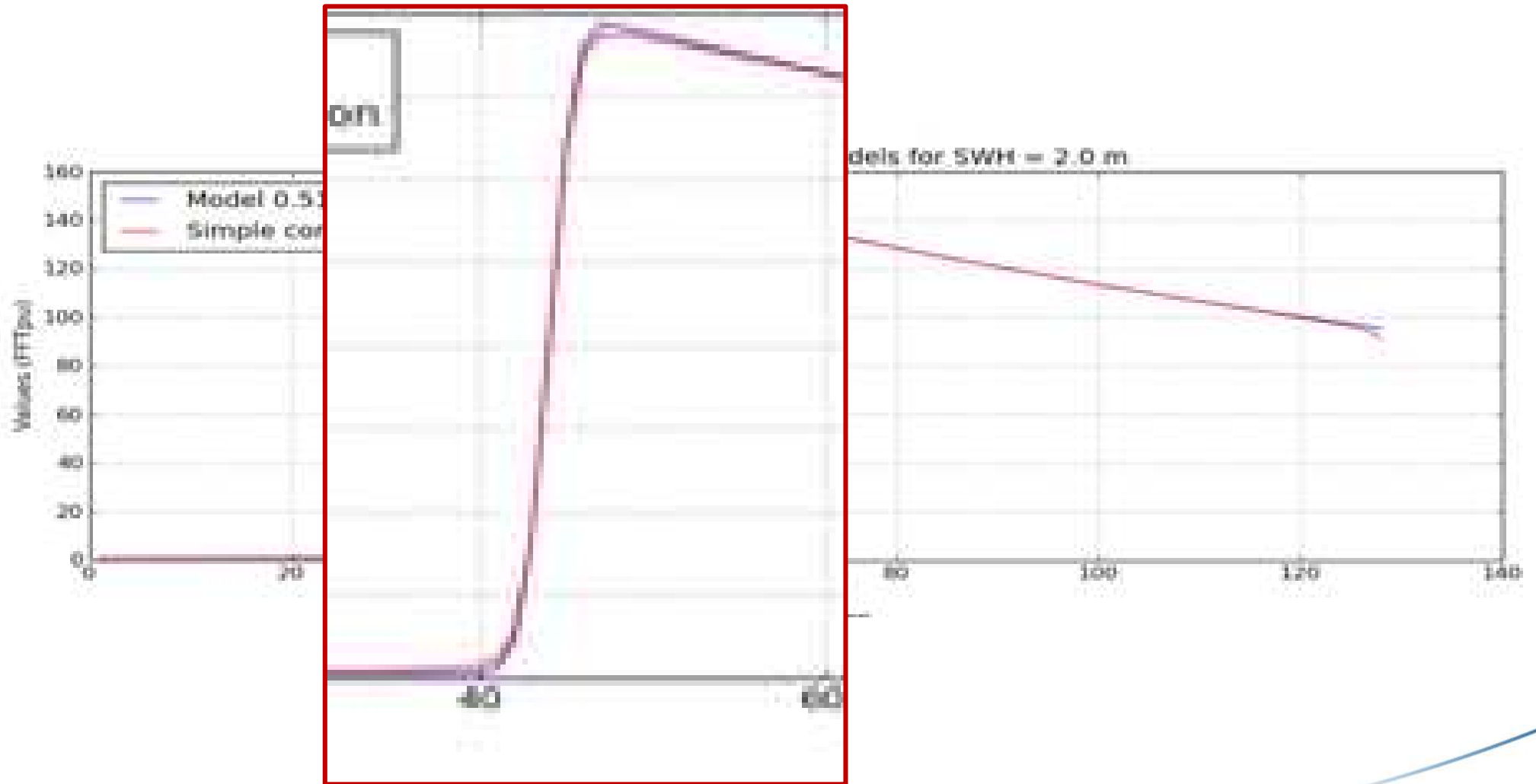
Numerical Model:

- Starts from Hayne model but without gaussian PTR (sigmap = 0).
Then, Real time convolution with the instrument PTR (measured from inflight calibrations)
- Derivatives are computed numerically:
 $\rightarrow F'(x) = [F(x + dx) - f(x)]/dx$

- All **instrument characteristics** are directly taking into account **inside the model**
 \rightarrow PTR evolutions is included in the echoes retracking «on the flow».
- BUT results **SHOULD/MUST** be at least equivalent to MLE4
 \rightarrow Validation using **Jason-2 data** (cycle 35)

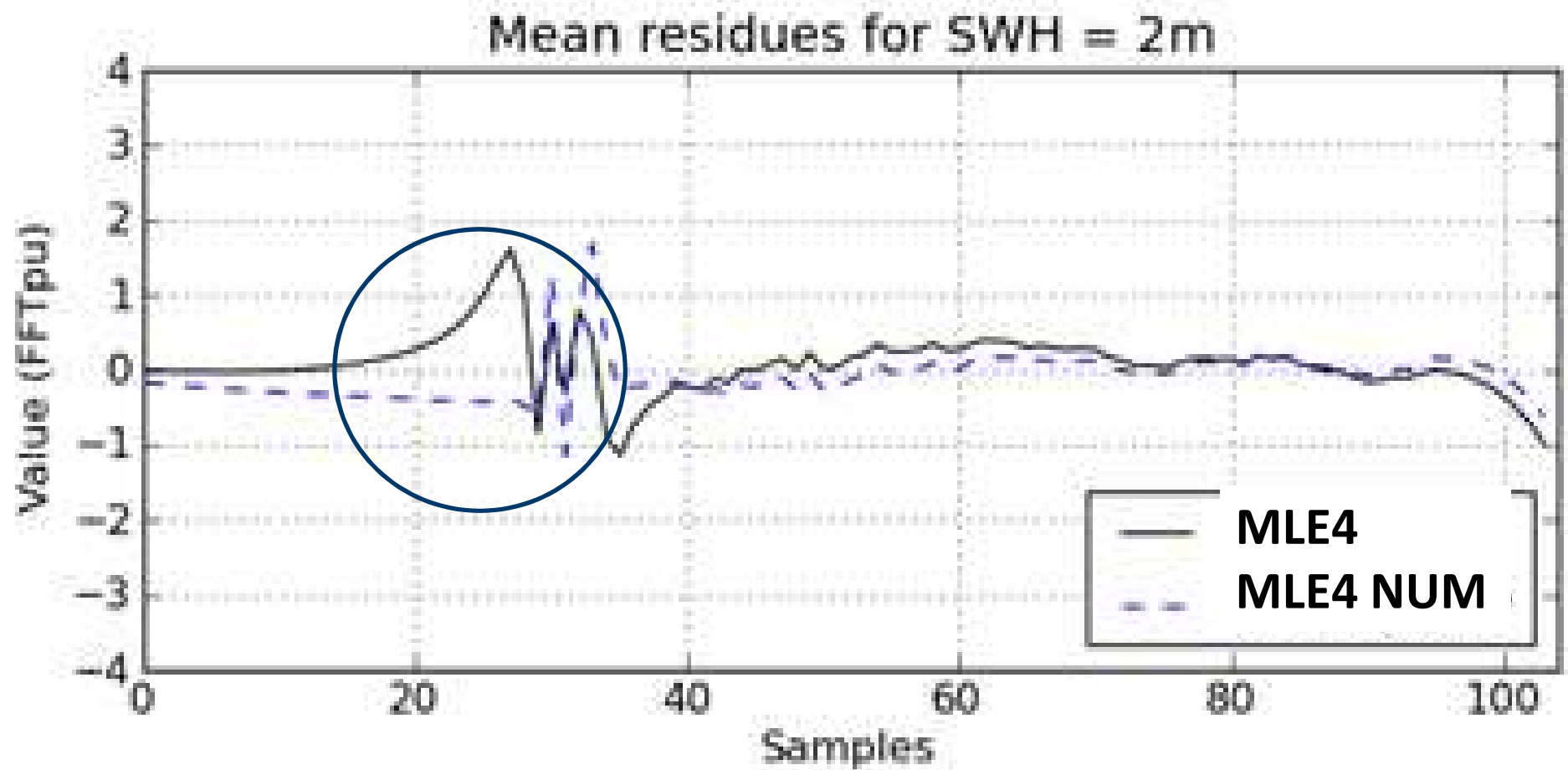


Numerical vs Hayne's model



Performances on Jason-2 data

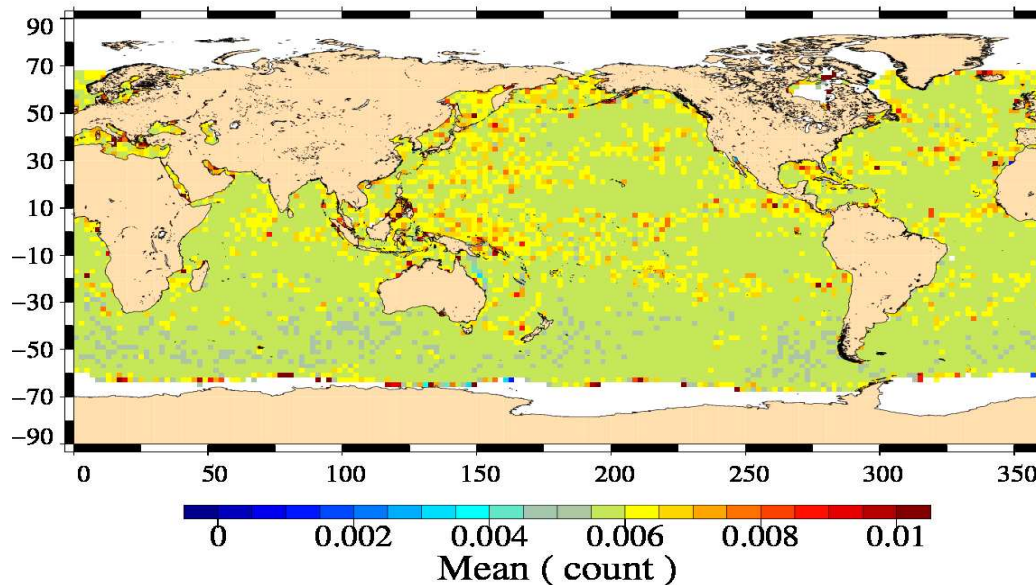
Waveform Residuals



Performances on Jason-2 data

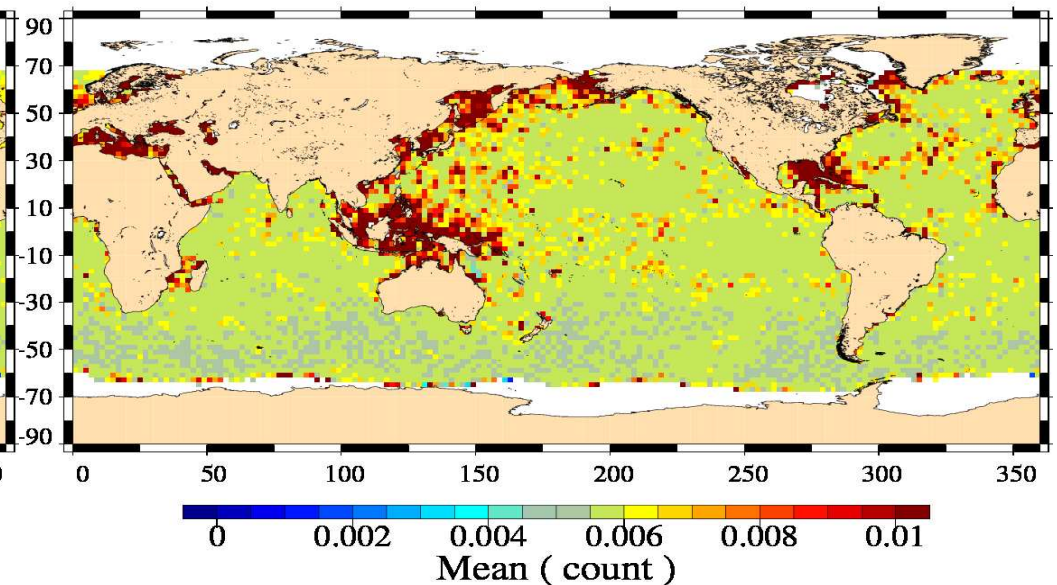
MQE: Difference between model and echo

MQE
NumMLE4



Nb of data	: 8830	St. Dev	: 0.004004	Skewness	: 78.562518	Minimum	: 0.001270
Mean	: 0.006043	Rms	: 0.007249	Kurtosis	: 6876.946198	Maximum	: 0.359422

MQE
MLE4

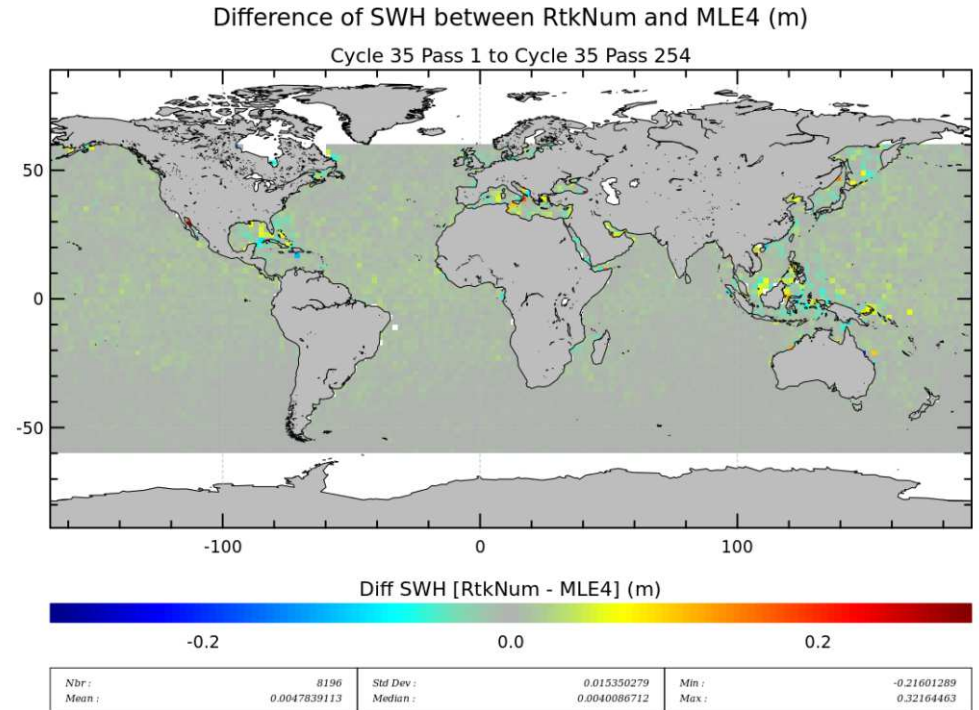
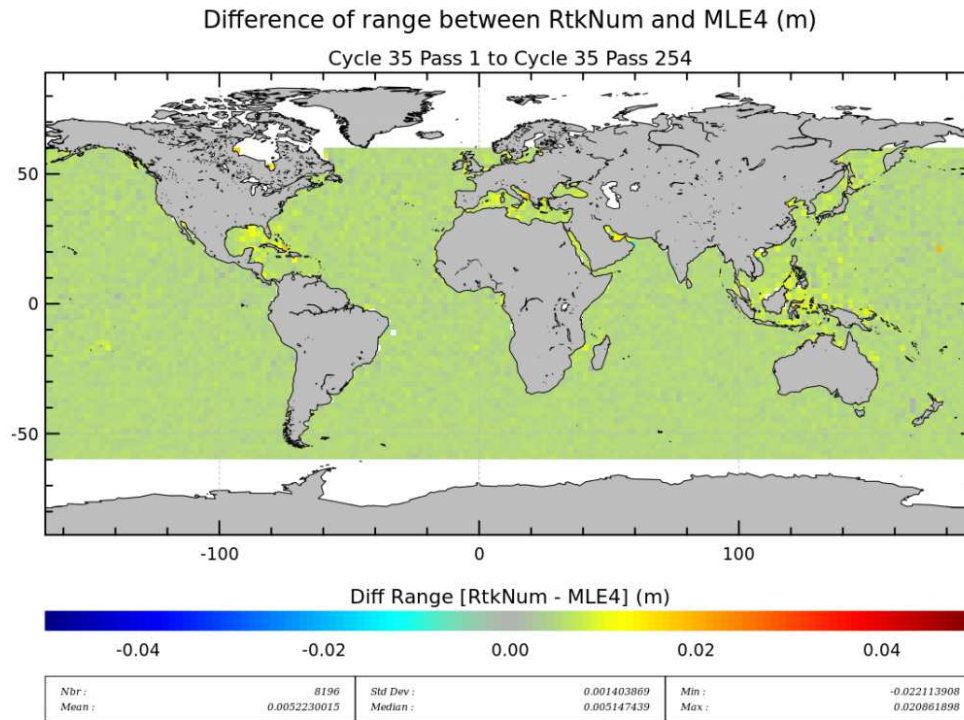


Nb of data	: 8830	St. Dev	: 0.008568	Skewness	: 19.843474	Minimum	: 0.001420
Mean	: 0.007223	Rms	: 0.011207	Kurtosis	: 580.458233	Maximum	: 0.353282

- MQE : MQE reduced everywhere
- MQE much more homogeneous wrt SWH

Performances on Jason-2 data

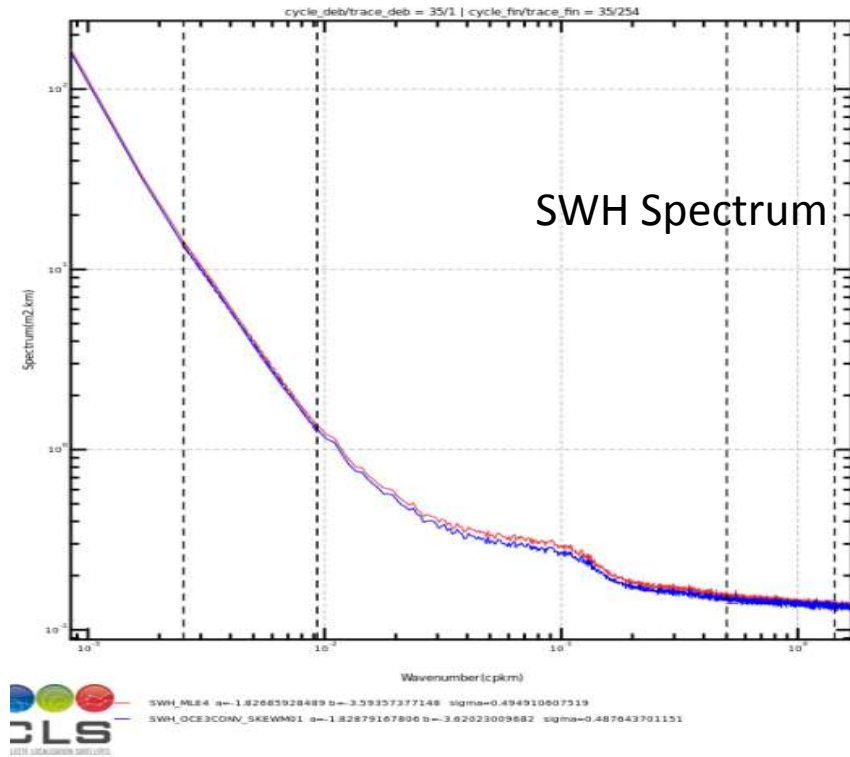
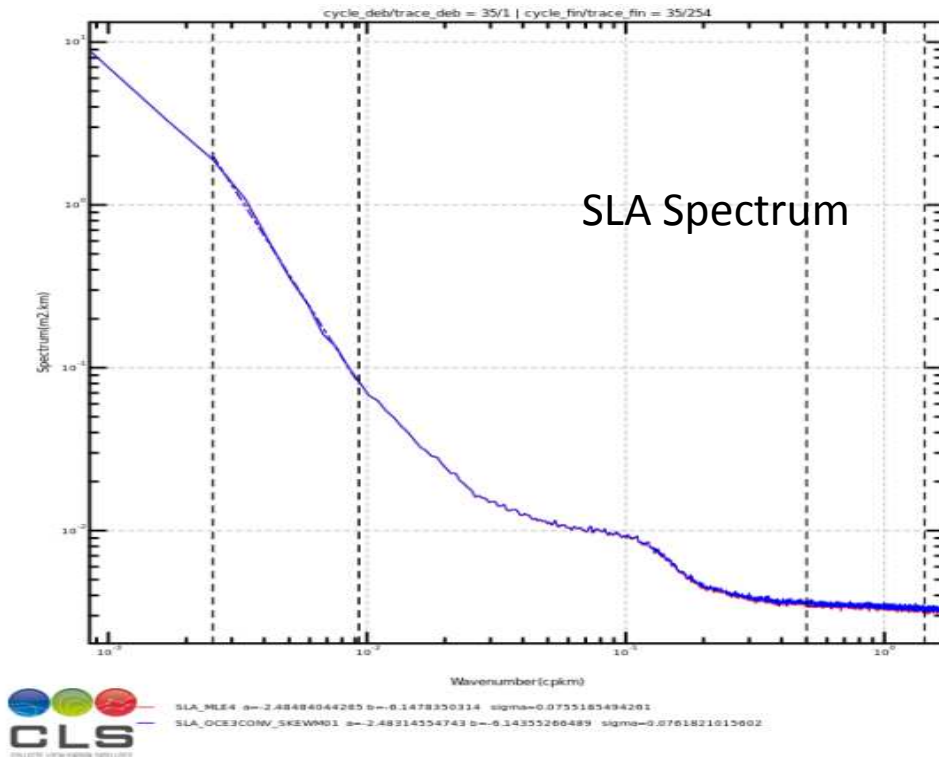
SLA and SWH Analysis



- **Excellent agreement** between MLE4num and MLE4 Range and SWH estimates
- **Same excellent agreement** for Sigma0 and Mispointing values (not shown)

Performances on Jason-2 data

SLA and SWH Analysis



- Same SLA spectral content
- Small hump reduction on the SWH Spectrum

Continuity between past and
current missions ?

... YES!

Conclusions

- **MLE4Num** allows to take into account **the instrument features directly inside the echo model**.
- **MLE4Num is robust to any strong instrument ageing** (which is not the case of the MLE4) → **data quality continuity**
- **For Jason-2** (stable instrument), **MLE4num** provides the **same data quality** than the operational MLE4 Retracking → **missions continuity**

For JASON-3 mission:

MLE4 remains the retracking reference solution over ocean in the product.

In parallel, the **MLE4Num will be activated within a prototype** to generate **demonstrative SIGDR products** containing **both MLE4 and MLE4Num**.

MLE4Num status to be done on J3 (and J1, J2, ...) data at the **end of inflight assessment**. 5

Perspectives

The Numerical model offers a **more precise modeling** of the radar echo:

→ **New opportunities** not possible with Hayne's model

- Weighted-MLE Retracking
- DCORE Retracking
- Kalman Retracking
- Topex waveforms retracking
- SAR-LRM continuity

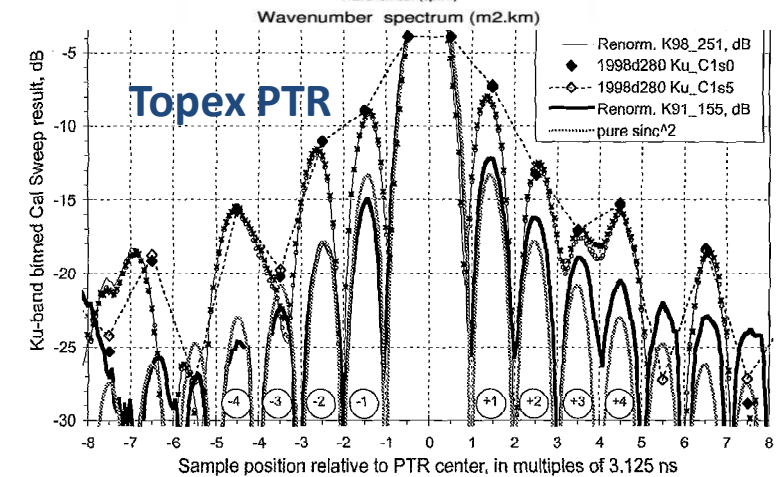
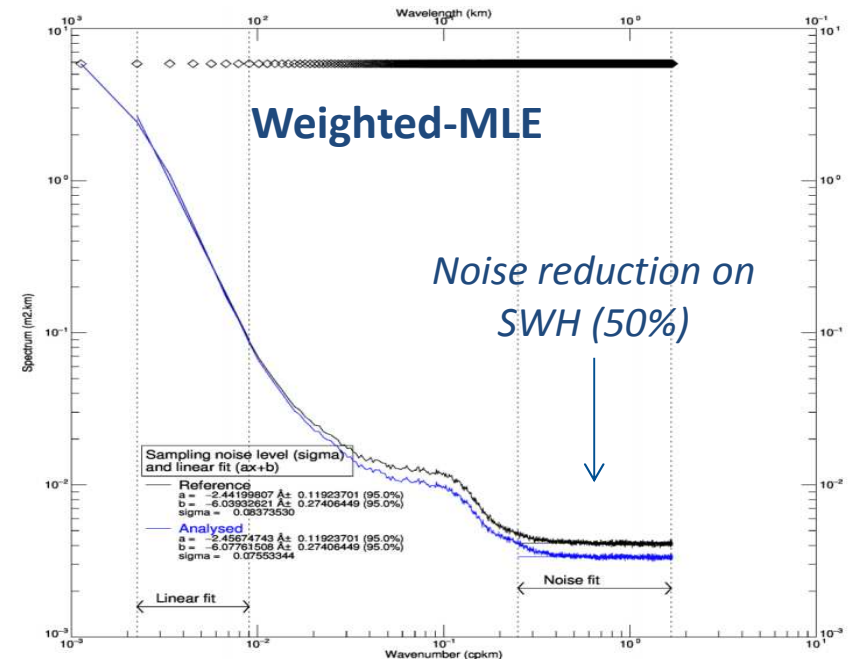
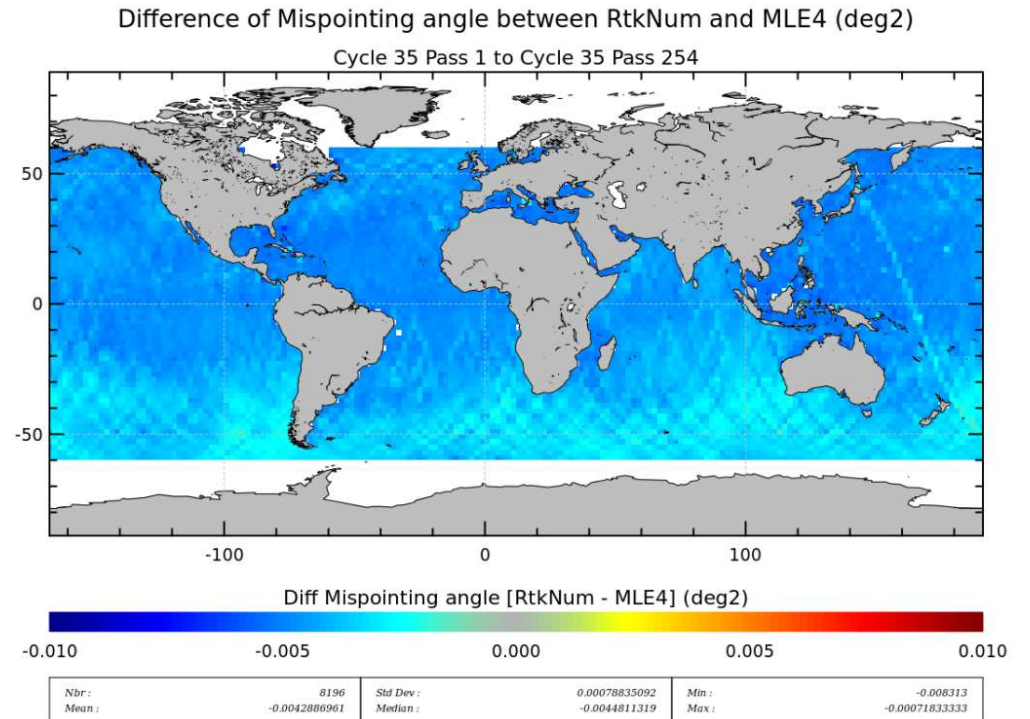
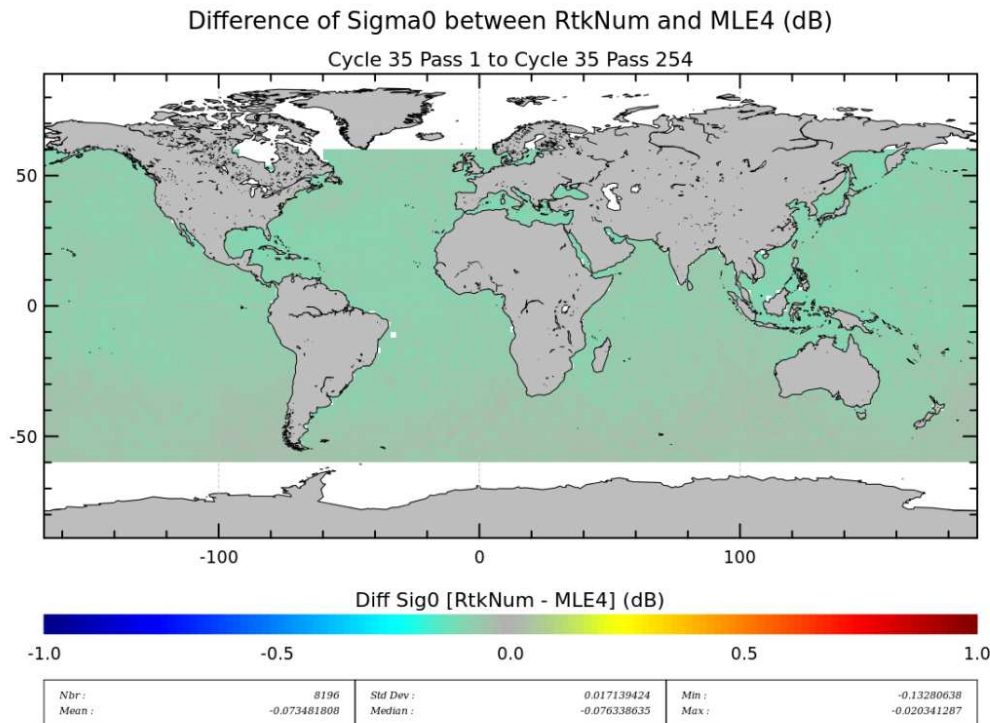


Figure 3-19 TOPEX Ku-Band Cal Sweep PTR Comparisons (labels shown for first four sidelobes each side of central peak)

Annex:

Performances on Jason-2 data : Sigma0 and ksi differences



- High level of agreement between MLE4num and MLE4 sigma0 and ksi estimates