

# Usage of SAR Stack Data over Sea-Ice – A First Overview

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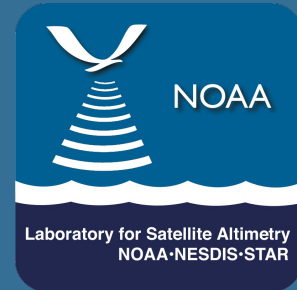
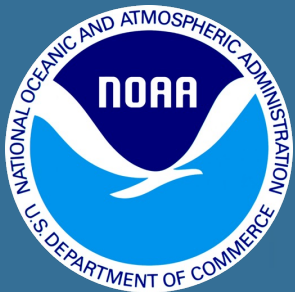
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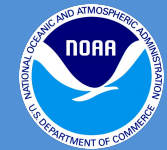
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Laurence Connor (NOAA, United States)

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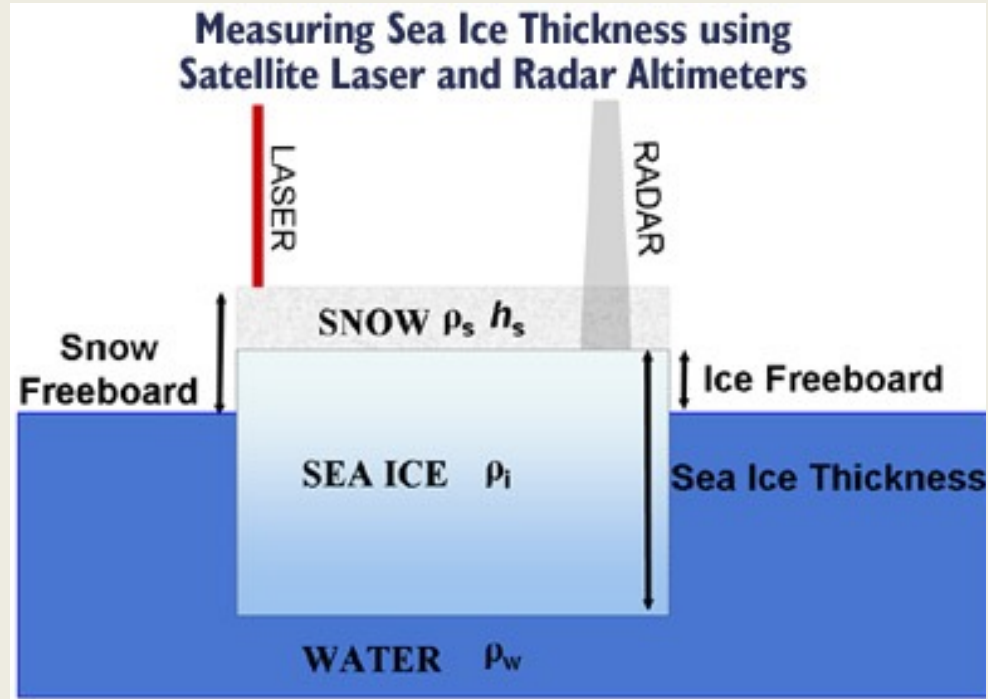
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# Shameless Advertisement

- We are looking for a PhD to support our team
- Focus lies on SWOT and classical radar altimetry in coastal areas
- If you are interested, please feel free to contact me via [cbuchhau@umd.edu](mailto:cbuchhau@umd.edu)
- For more information:  
<https://essic.umd.edu/joom2/index.php/employment/3148-post-doctoral-research-associate-in-remote-sensing-altimetry>

- Retrieving sea-ice parameters from altimetry observations is still a challenging task, due to heterogenous scattering mechanics.
- We focus on stack retracking, which in the open ocean allows for the estimation of additional parameters, and the zero skewness (ZSK) transform, which brings stack samples closer to a normal distribution.
- We present first results from a new numerical stack retracker scheme adapted for sea-ice surfaces how snow-covered sea-ice can be handled in stack retracking and what kind of parameters can be retrieved from these cases.

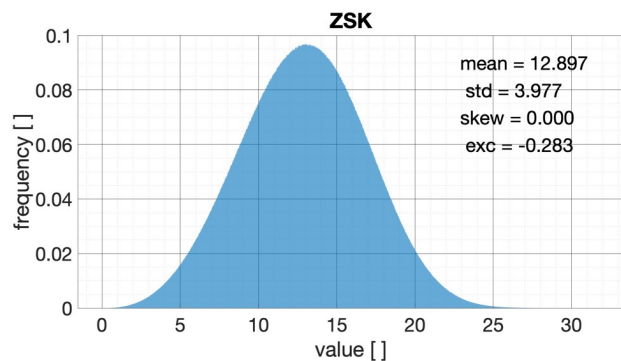
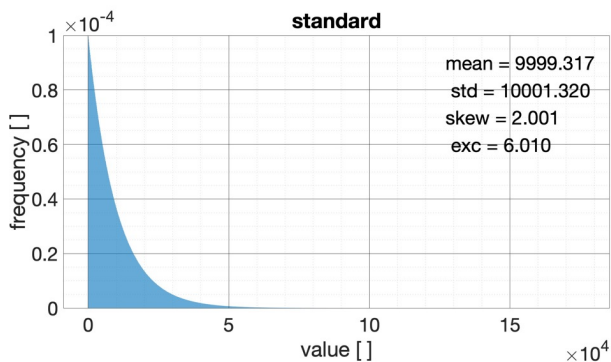
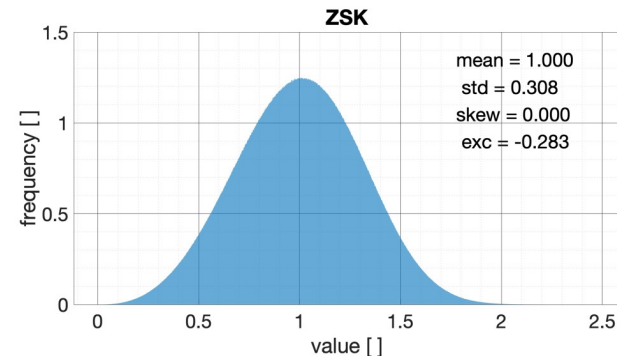
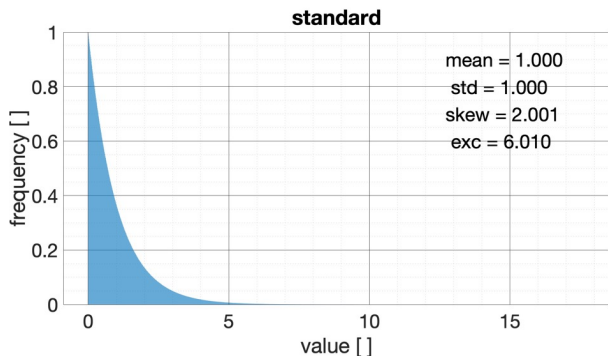


Concept of sea-ice altimetry.



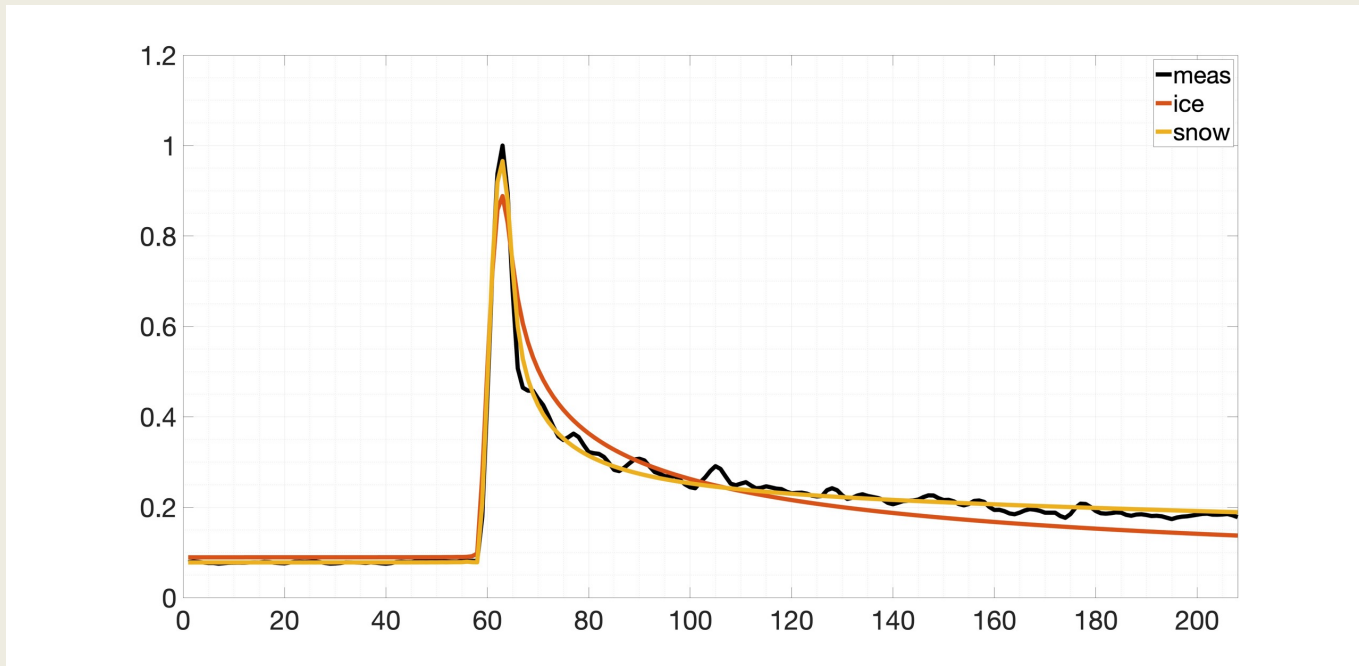
# Introduction: State-of-the-Art versus ZSK

We apply the ZSK transform to make smaller signals more visible and to increase the signal to noise ratio.



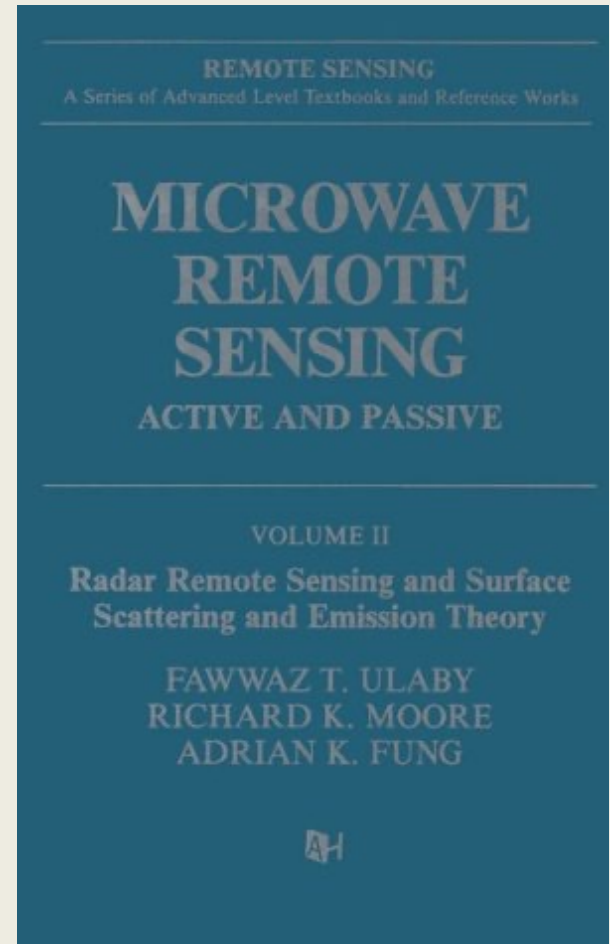
# Introduction: Main idea

- We identified that the trailing edge decreases slower than according to Hagfors backscatter function
- Solution: Discrepancy might be snow



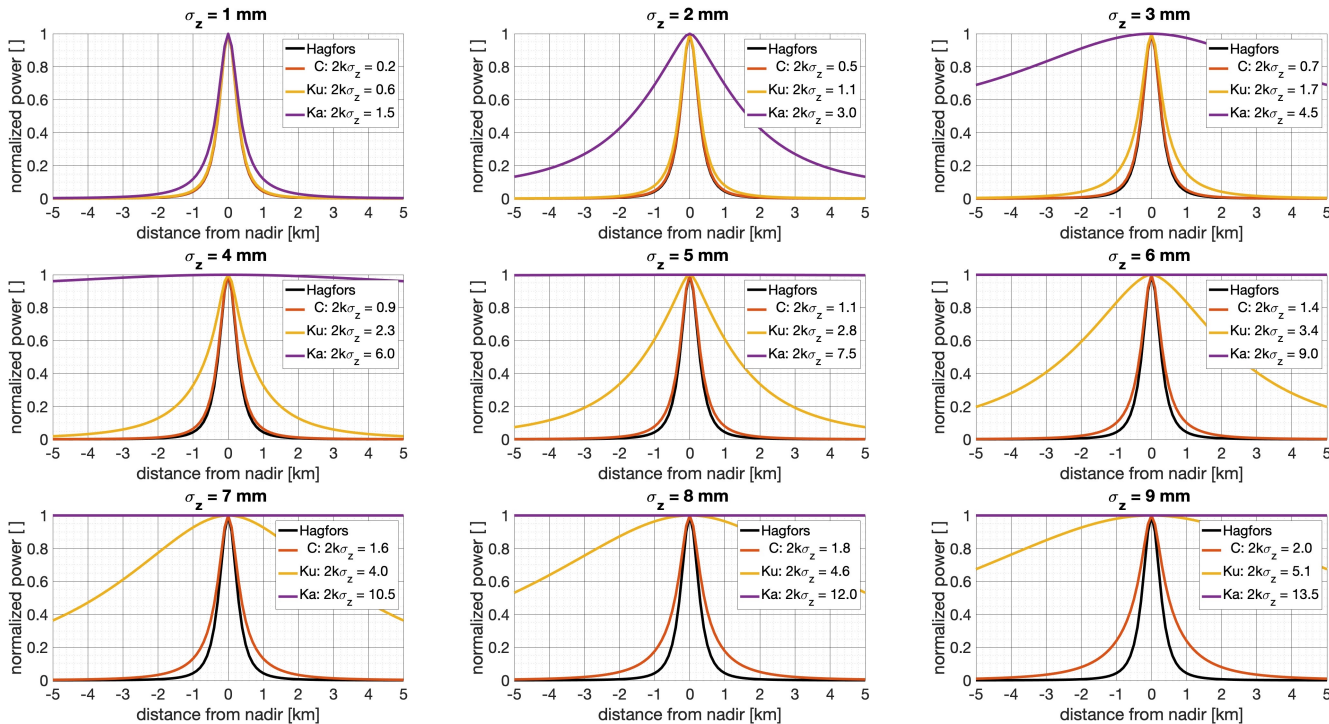
Senitnel-3 Ku-band waveform; black curve: measured waveform; red: sea-ice return only; orange: sea-ice plus snow.

- Theory is well described for Gaussian elevation distribution
- However, sea-ice follows an exponential distribution and correlation function
- Therefore, we follow the approach (Scalar Approximation) presented by F. T. Ulaby (chapter 12) but use sea-ice statistics instead
- For the sake of completeness, we present the Gaussian case as well.



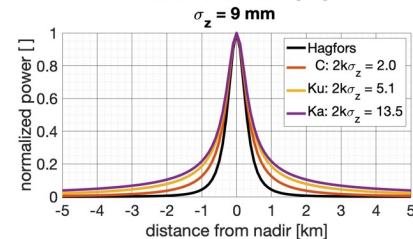
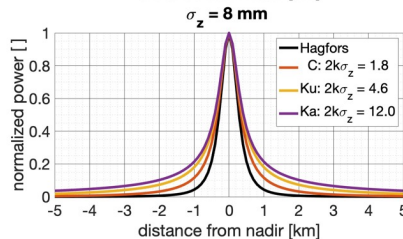
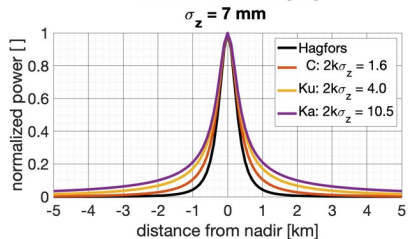
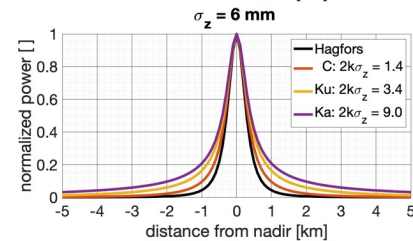
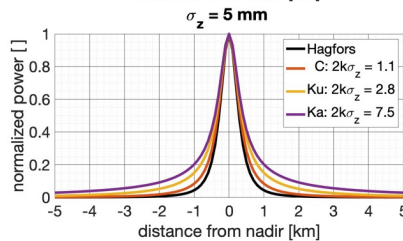
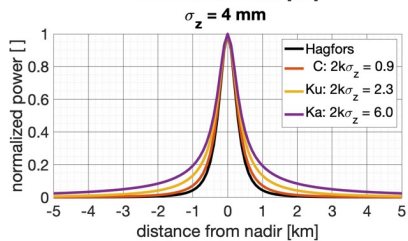
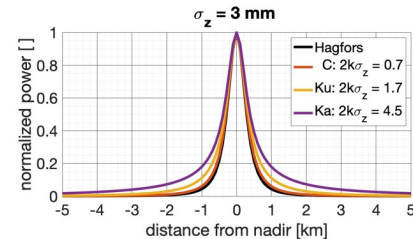
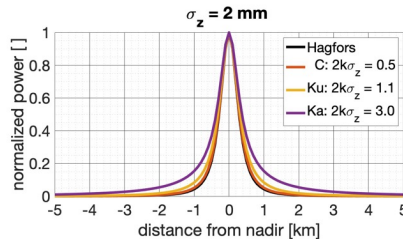
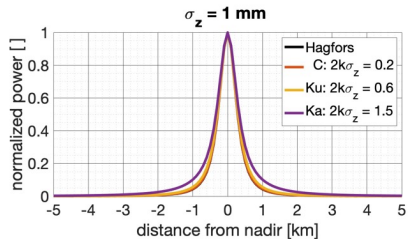
# Introduction: Let's check the Math

- Gaussian elevation distribution
- Converges towards geometrical optics fast

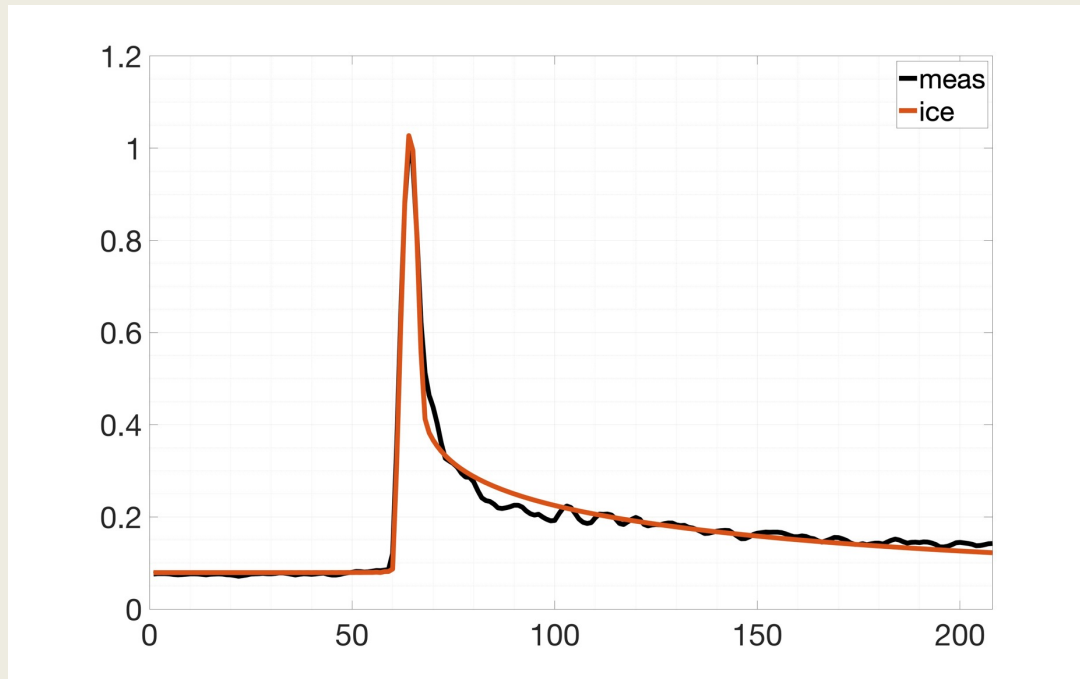


# Introduction: Let's check the Math

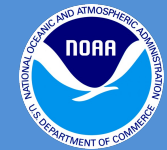
- Exponential elevation distribution
- Does not converge towards geometrical optics



- No more discrepancy found
- Snow not observable here
- However, we were able to observe slope effects



Sentinel-3 Ku-band waveform; black curve: measured waveform; red: sea-ice return only.

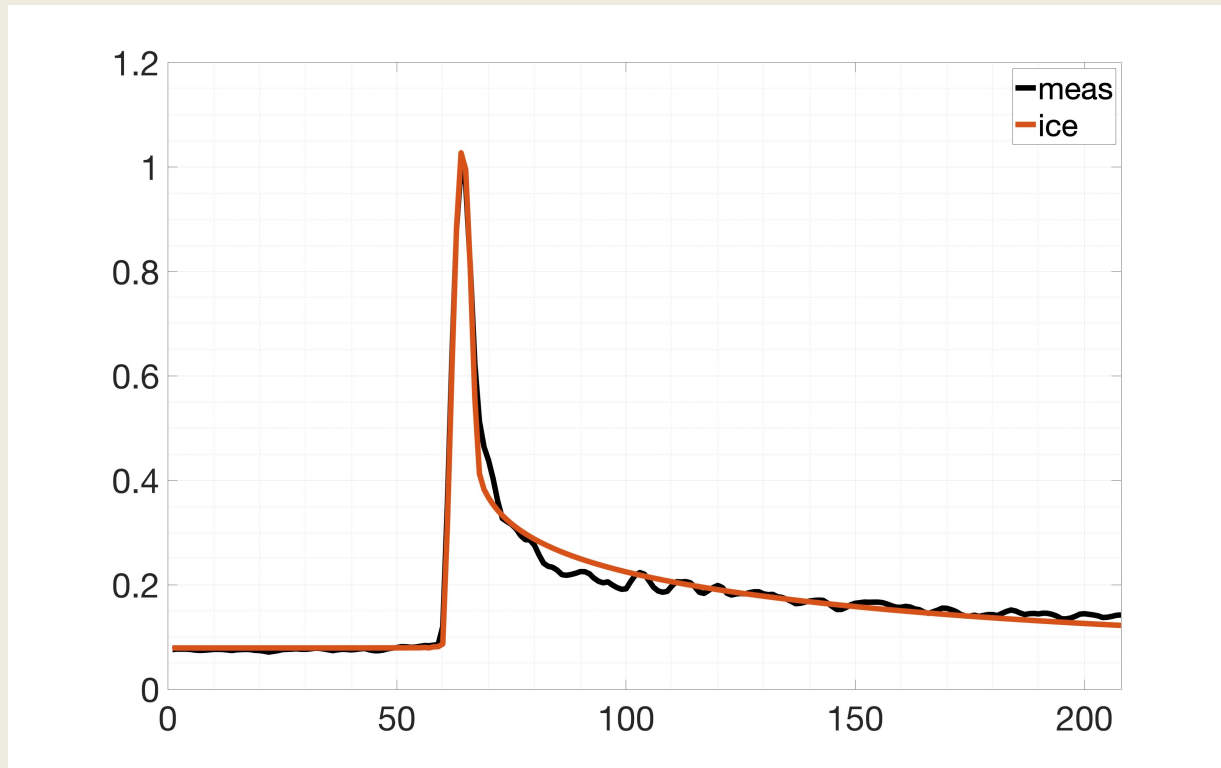


# Retracking

- We apply in the L1B processing Kaiser-Bessel windows to mitigate snagging effects.
- We assume that the surface roughness is very large compared to the wavelength.
- We assume that the surface roughness is small compared to the PTR width.
- Therefore, we estimate following parameters:
  - Sea-ice Amplitude
  - Sea-ice Elevation
  - Sea-ice backscattering function scaling
  - Sea-ice slope variation



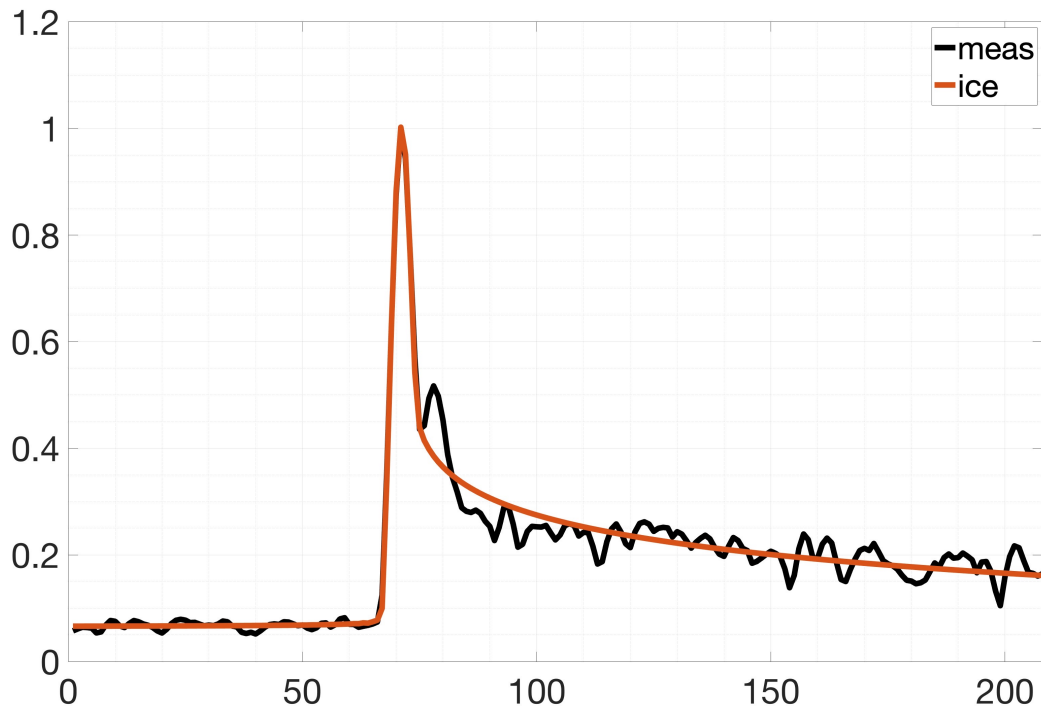
## Retracking result of one Ku-band sea-ice Reduced-SAR waveform



Sentinel-3 Ku-band waveform; black curve: measured waveform; red: sea-ice return only.

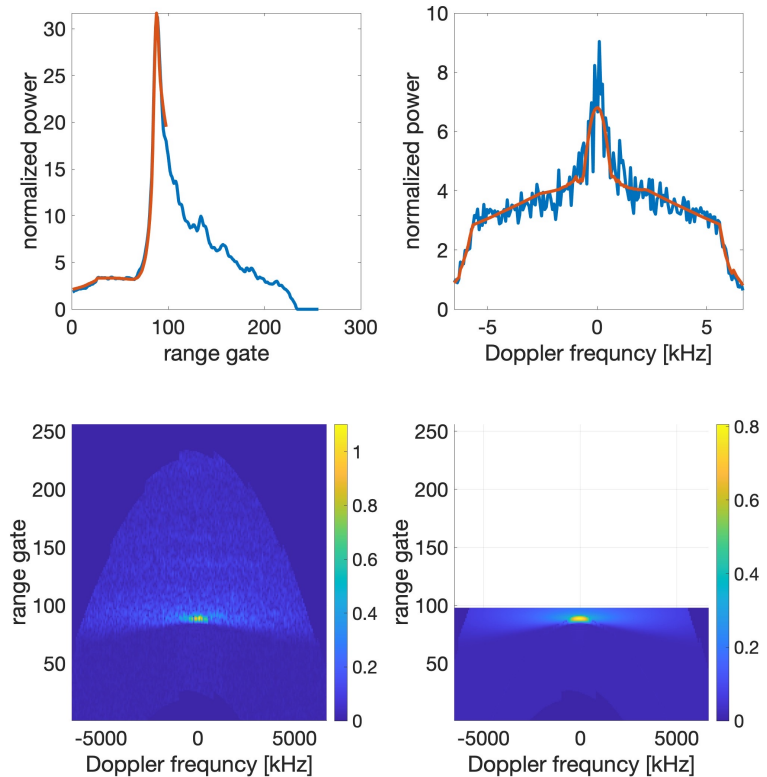


## Retracking result of one C-band sea-ice LRM waveform



Sentinel-3 C-band waveform; black curve: measured waveform; red: sea-ice return only.

- Retracking result of one Ku-band sea-ice SAR stack
- To mitigate outliers located in the trailing edge we fit only a sub-stack up to the maximum range bin plus ten samples
- The same could be done with the waveform + RIP



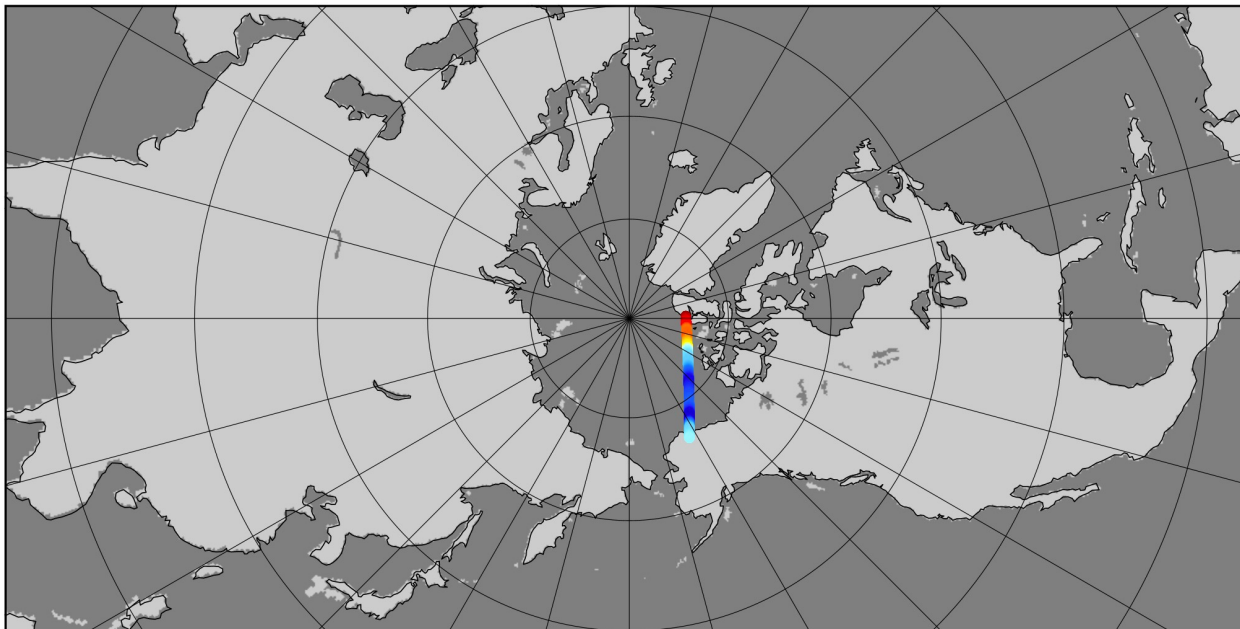
Sentinel-3 Ku-band stack; black curve: measured; red: sea-ice return only.

# First Results

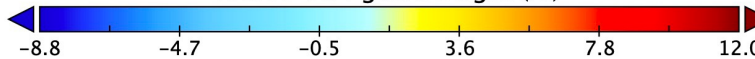
Location of the chosen pass:

S3A\_SR\_2\_\_HR\_LSAR\_NT\_003\_186\_20160421T214513\_20160421T223539\_003

EIGEN-6C4 geoid height

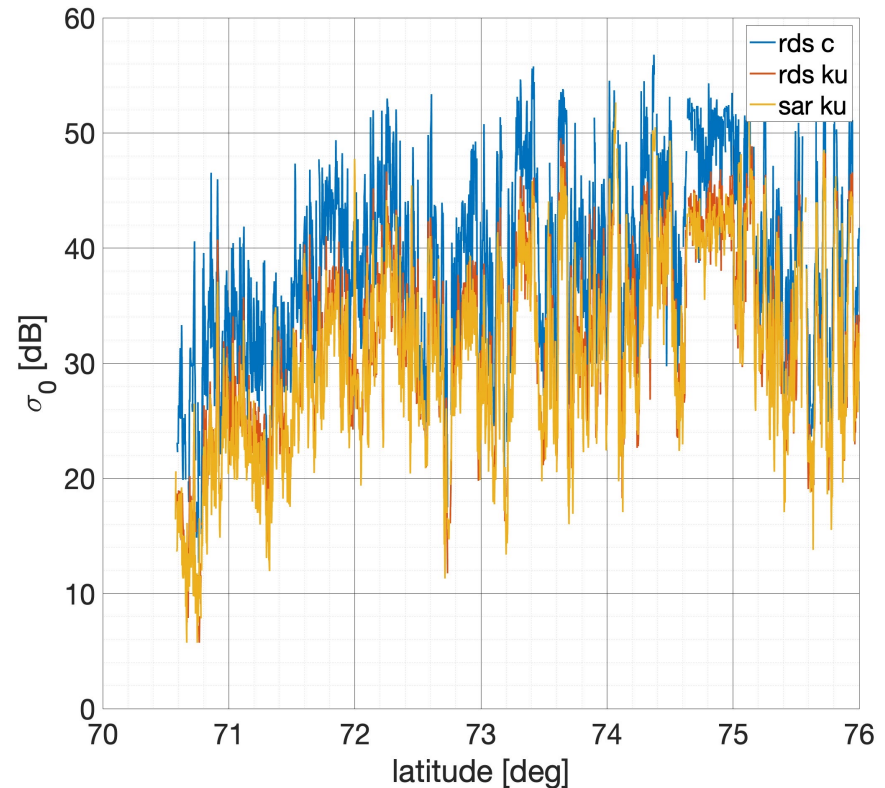


EIGEN-6C4 geoid height (m)



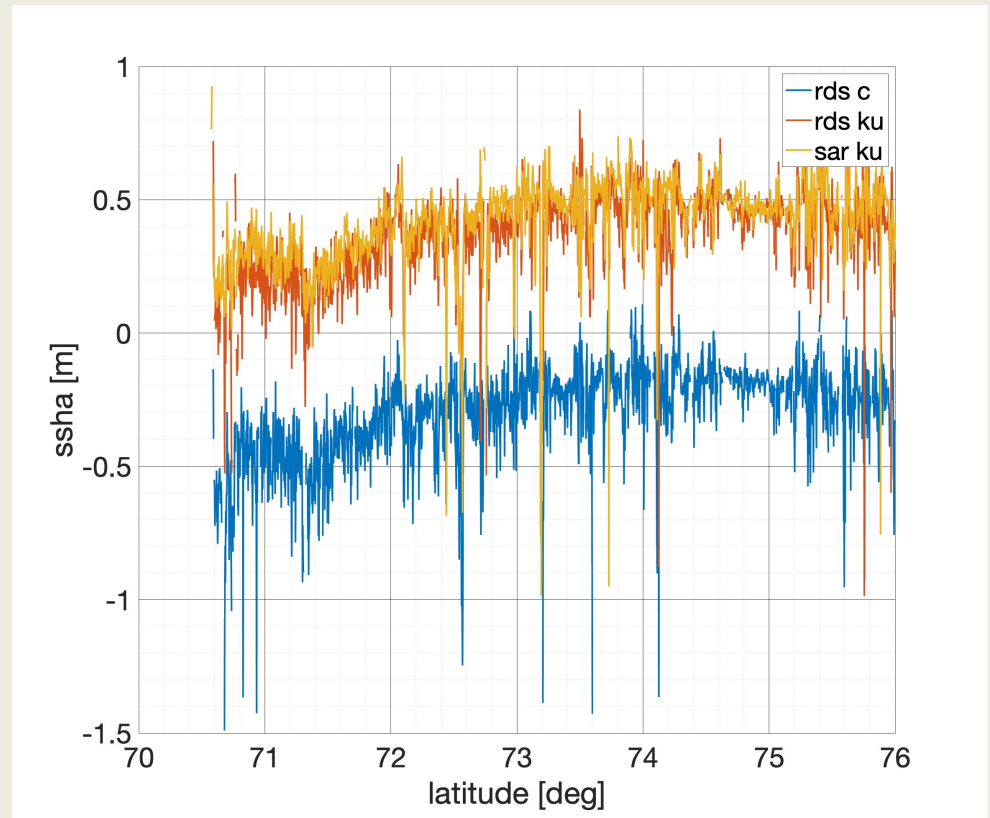
Data Min = -8.8, Max = 12.0

- SAR and reduced SAR Ku-band match well.
- C-band LRM is higher, but that is expected since it is less attenuated by the atmosphere and snow coverage

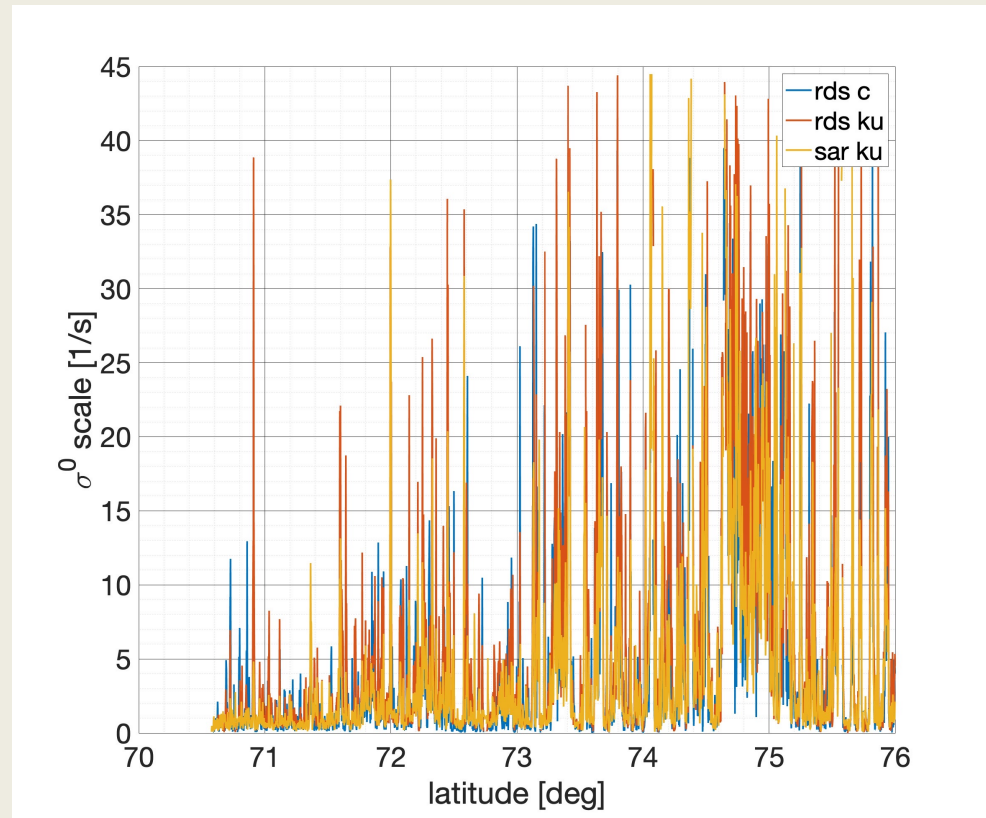


# First Results

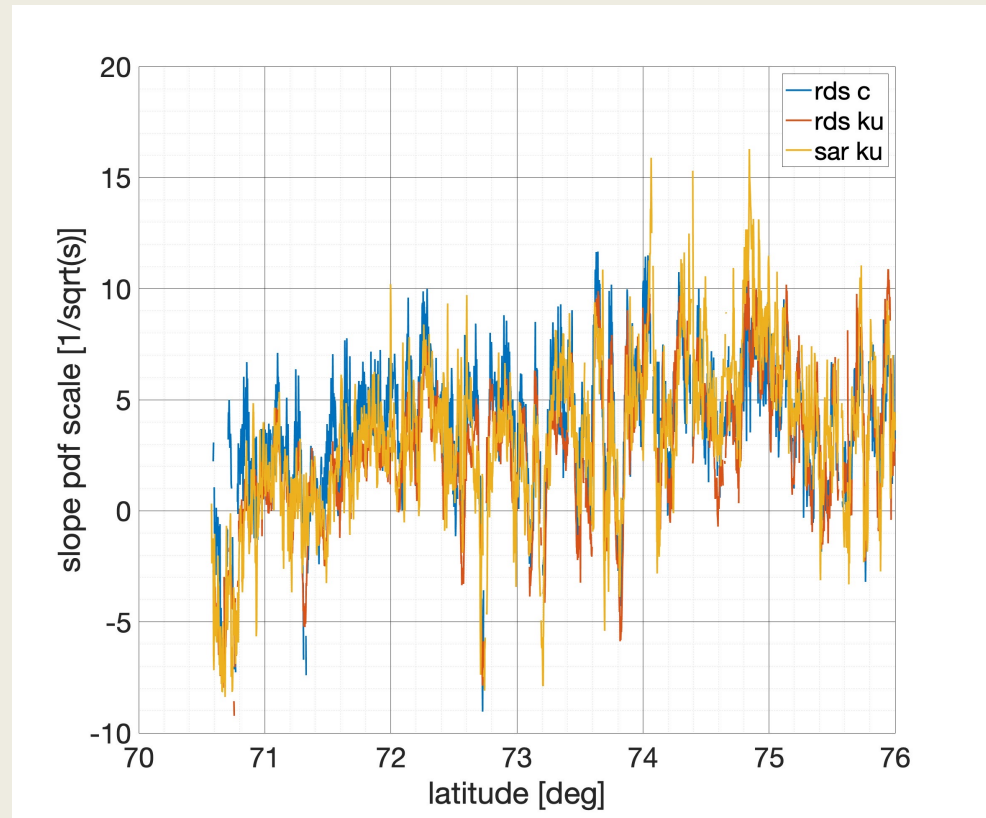
- SAR and reduced SAR Ku-band have some differences, which needs to be investigated.
- SAR is a few cm higher, which might be caused by the refraction coefficient of snow.
- C-band LRM is lower, but that might be caused by a different reference gate, which we accidentally did not account for.



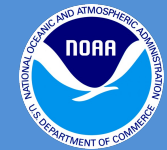
- Overall, the estimated scaling of the backscattering function is noisy, but all results show the same behavior.
- Adding leads to the retracking might solve this issue.



- This parameter related to the surface slope variation is estimated consistently by all products.
- Which makes sense as this parameter is independent on signal wavelength.







# Conclusions

- We were able to improve the backscattering power function to match sea-ice signals.
- However, snow is no longer observable because the backscattering function decreases in power too slow.
- The model needs some improvements, as we applied the backscattering power function before the PTR – which is technically correct – causing aliasing effects, especially in SAR.
- This is fixable, but we were not able to implement it before the OSTST meeting.
- Waveform + RIP retracking should be sufficient, but if snow refraction affects SAR signals, then stack retracking might help.
- Leads need to be implemented and stack retracking might allow estimating the freeboard as it should be possible to estimate the sea-ice elevation independent from lead elevations.
- A lot of work needs to be done.