2D SAR Altimetry Retracking – Lessons Learned

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Actually to Be More Precise: Addressing LR-HR Inconsistencies on SAR Altimetry SSH and SWH Measurements

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- Introduction
- Sentinel-6A Cycle 44 Global Analyses
- Along-Track Doppler vs. Wind Speed
- Conclusion



- LR signals sampled w.r.t. range (epoch) and pulse-to-pulsetime (slow-time)
 - Incoherent processing with integration time of pulse width
 - Signal stable w.r.t slow-time
 - Retracking only focuses on fitting power within range samples
- HR signals sampled w.r.t. range (epoch) and relative velocity between scatterer and platform (azimuth)
 - Coherent processing with integration time of burst length
 - State-of-the-art focuses on fitting power in range samples
 - Sensitive to velocities occurring on the sea surface -> inconsistencies



- Vertical wave particle velocities (VWPV)
- Causes azimuth blurring effect proportional to:
 - Burst duration τ_B
 - Twice the reciprocal carrier wavelength $^{2}/_{\lambda_{c}}$
 - Attenuation factor due to slope correlations $a_v = \sqrt{\frac{Var[\eta_t | \vec{\nabla} \eta]}{Var[n_t]}}$
- Very small dependency on incidence angle
- Dominates horizontal velocity component

$$- \sqrt{\sigma_v^2 \cos \Theta_i^2 + \sigma_h^2 \sin \Theta_i^2} \approx \sigma_v^2$$



- Mean vertical wave particle velocities at given incidence $- E[\eta_t | \vec{\nabla} \eta] \propto \sin \Theta_i$
- Horizontal velocities
 - Caused by currents, wind induced movement and swell
 - Leads to Doppler-shift $\Delta f_D = \frac{2}{\lambda_c} u_x \sin \Theta_{i,x}$
 - Acts like a Doppler frequency scaling $f_D \mapsto \left(1 + \frac{u_x}{v_x}\right) f_D$
 - u_x combines all horizontal and the mean vertical components
 - v_x describes the along-track velocity of the nadir



Introduction: 2D SAR Retracking

- Idea: Use of Doppler information given in stack
- Estimated parameters
 - Wind speed
 - SSH
 - SWH = $4\sigma_z$
 - VWPV variation σ_v
 - Along-track surface velocity u_x
- Aim: Minimization of LR-HR inconsistencies



Note: Stack retracking requires handing of exponential distributed sample noise. Here we transform it towards a symmetric Weibull distributed. This is further called ZSK.



- Three different SAR (HR) retrackers used:
 - SINCS STD: Close to current state-of-the-art
 - SINCS-OV ZSK: VWPV stack retracker
 - SINCS-OV2 ZSK: VWPV plus u_x stack retracker
- Reduced SAR (LR) retrackers used:
 - SINC2 STD: Close to current state-of-the-art
 - SINC2 ZSK: Zero Skewness version of SINC2 STD
- SINCS STD is compared with SINC2 STD
- SINCS-OV ZSK and SINCS-OV2 ZSK with SINC2 ZSK



HR – LR SSH estimates. LR retracker is SINC2 STD. HR retracker is SINCS STD. Left: Ascending tracks. Right: Descending tracks.



HR – LR SSH estimates. LR retracker is SINC2 ZSK. HR retracker is SINCS-OV ZSK. Left: Ascending tracks. Right: Descending tracks.



HR – LR SSH estimates. LR retracker is SINC2 ZSK. HR retracker is SINCS-OV2 ZSK. Left: Ascending tracks. Right: Descending tracks.



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> 1-Hz HR-LR SLA differences w.r.t ECMWF parameters. LR retracker is SINC2 STD. HR retracker is SINCS STD.



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u_x w.r.t Wind Speed – Sentinel-6A



Scatterplot between 1-Hz SINCS-OV2 ZSK estimated along-track surface velocities and ECMWF wind speed values.

2022 Ocean Surface Topography Science Team (OSTST) meeting • 10/31/2022 – 11/03/2022



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Scatterplot between 1-Hz SINCS-OV2 ZSK estimated along-track surface velocities and ECMWF wind speed values.

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- 2D SAR retracking can retrieve two additional wind-wave parameters, the VWPV standard deviation σ_v and the along-track surface velocity u_x .
- Reduces the inconsistencies w.r.t. reduced SAR (LR).
 - Usually between $\pm 1 \text{cm}$ (S3A) and $\pm 2 \text{cm}$ (S6A) for SSH depending on along-track surface velocity.
 - Up to circa 40cm (S3A) and 60cm (S6A) for SWH depending on VWPV variation.
- Relationship between u_x and along-track windspeed
 - However, different behavior for S6A and S3A
 - How about swell and currents?
- Overall work in progress, but $\sigma_v + u_x$ needs to be considered in the future e.g. with 2D SAR retracking.



- Performing 10,000 Monte-Carlo-Runs for each SWH and wind direction θ_w combination:
 - $H_s = \{ 0m, 1m, 2m, 4m, 8m, 12m \}$
 - $\ \theta_w = \{ \ 0^{\circ}, \ 22.5^{\circ}, \ 45^{\circ}, \ 67.5^{\circ}, \ 90^{\circ}, \ 112.5^{\circ}, \ 145^{\circ}, \ 167.5^{\circ}, \ 180^{\circ} \}$
 - $\sigma_v = \sqrt{0.01365 \ g \ H_s}$ (Eq. based on elevation skewness of 0.1)
 - $U_{10} = 2.1375 \sqrt{g H_s}$ (Eq. base on Pierson-Moskowitz spectrum)
 - $u_x = \frac{U_{10}}{2}$ (Eq. based on conservative estimate)
 - $g = 9.81 \, {}^{m}/{}_{s^2}$ is the gravity acceleration.
- Retracking done with Levenberg-Marquardt Algorithm and
 - SINCS-OV ZSK (LSAR 2D: σ_v)
 - SINCS-OV2 ZSK (LSAR 2D: $\sigma_v + u_x$)





Estimated minus modelled u_x values in meter per second as function of SWH and wind-direction w.r.t. altimeter track. Left: SINCS-OV ZSK which does not estimate u_x . Right: SINCS-OV2 ZSK which does estimate u_x .

Simulation of Sentinel-6A Data



Estimated minus modelled range values in meter as function of SWH and wind-direction w.r.t. altimeter track. Left: SINCS-OV ZSK which does not estimate u_x . Right: SINCS-OV2 ZSK which does estimate u_x .





Estimated minus modelled SWH values in meter as function of SWH and wind-direction w.r.t. altimeter track. Left: SINCS-OV ZSK which does not estimate u_x . Right: SINCS-OV2 ZSK which does estimate u_x .





Estimated minus modelled σ_v values in meter per second as function of SWH and wind-direction w.r.t. altimeter track. Left: SINCS-OV ZSK which does not estimate u_x . Right: SINCS-OV2 ZSK which does estimate u_x .